

**Five Rivers Portfolio Managers Private Limited**  
**INVESTORS COMPLAINT DATA**

**Data for the month ending September 2025**

| Sr. No. | Received from          | Pending at the end of last month | Received | Resolved* | Total Pending# | Pending complaints > 3 months | Average Resolution time^ (in days) |
|---------|------------------------|----------------------------------|----------|-----------|----------------|-------------------------------|------------------------------------|
| 1       | Direct from Investors  | 0                                | 0        | 0         | 0              | 0                             | 0                                  |
| 2       | SEBI (SCORES)          | 0                                | 0        | 0         | 0              | 0                             | 0                                  |
| 3       | Other Sources (if any) | 0                                | 0        | 0         | 0              | 0                             | 0                                  |
|         | <b>Grand Total</b>     | <b>0</b>                         | <b>0</b> | <b>0</b>  | <b>0</b>       | <b>0</b>                      | <b>0</b>                           |

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in current month divided by total number of complaints resolved in current month

**Trend of monthly disposal of complaints**

| Sr. No. | Month              | Carried forward from Previous month | Received | Resolved* | Pending# |
|---------|--------------------|-------------------------------------|----------|-----------|----------|
| 1       | July 25            | 0                                   | 0        | 0         | 0        |
| 2       | August 25          | 0                                   | 0        | 0         | 0        |
| 3       | September 25       | 0                                   | 0        | 0         | 0        |
|         | <b>Grand Total</b> | <b>0</b>                            | <b>0</b> | <b>0</b>  | <b>0</b> |

\*Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month

**Trend of annual disposal of complaints**

| Sr. No. | Month              | Carried forward from Previous year | Received | Resolved** | Pending## |
|---------|--------------------|------------------------------------|----------|------------|-----------|
| 1       | 2022-2023          | 0                                  | 0        | 0          | 0         |
| 2       | 2023-2024          | 0                                  | 0        | 0          | 0         |
| 3       | 2024-2025          | 0                                  | 0        | 0          | 0         |
|         | <b>Grand Total</b> | <b>0</b>                           | <b>0</b> | <b>0</b>   | <b>0</b>  |

\*\*Inclusive of complaints of previous years resolved in the current years.

##Inclusive of complaints pending as on the last day of the year.